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INTELLECTUAL PROPERTY LAW

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File No. SCHC,002

April 21, 2000

#2

Commissioner of Patents and Trademarks
Washington, DC 20231

Re: Application of: Schmalenberger, Warren
Serial No.: 09/442,819
Filed: November 18, 1999
For: CAPITAL MARKET INDEX

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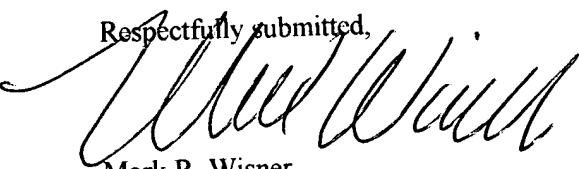
Dear Sir:

Enclosed for filing in connection with the captioned application is/are the following:

- Information Disclosure Statement Transmittal and Form 1449 (with 4 references).

The Commissioner of Patents is hereby authorized to draw on the Deposit Account of Wisner & Associates, Account No. 50-0965 (SCHC,002), if there are fees associated with this filing and/or if any required fees enclosed are insufficient, the check is unsigned, or if fees are inadvertently not enclosed. Please return the enclosed self-addressed, postage-prepaid postcard evidencing receipt of the above documents.

Respectfully submitted,


Mark R. Wisner
Attorney for Applicant
Registration No. 30,603

MRW/lm
Enclosures

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Warren F. Schmalenberger

Serial No.: 09/442,819

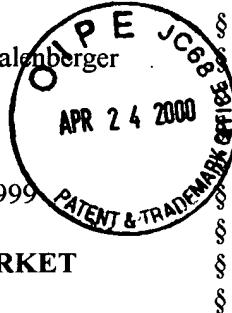
Filed: November 18, 1999

Titled: **CAPITAL MARKET
INDEX**

Atty. Docket No.: SCHC,002

Examiner: Unknown

Group Art Unit: Unknown



COMMISSIONER OF PATENTS
AND TRADEMARKS
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any papers referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date indicated below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patent and Trademarks, Washington, D.C. 20231.

Mark R. Wisner, Registration No. 30,603

April 21, 2000

Date

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INFORMATION DISCLOSURE STATEMENT

Sir:

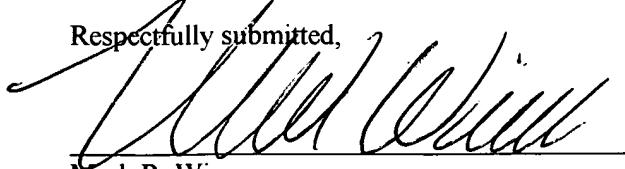
In compliance with Applicant's duty of candor and good faith, Applicant files this Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.98 to make the references listed on the attached Form PTO-1449 of record in the captioned application. Also enclosed is:

- Certification for information submitted during time period specified in 37 C.F.R. §1.97 (c) and/or (d) in accordance with 37 C.F.R. §1.97 (e);
- Check in the amount of the fee set forth in 37 C.F.R. §1.17 (p) in accordance with 37 C.F.R. §1.97 (c);
- Petition requesting consideration of information submitted during time period specified in 37 C.F.R. §1.97 (d) in accordance with 37 C.F.R. §1.97 (d) (2);
- Check in the amount of the fee set forth in 37 C.F.R. §1.17 (i) in accordance with 37 C.F.R. §1.97(d)(3);
- Stamped, self-addressed post card acknowledging receipt of this paper and the other paper(s) marked with an "x" in the above list.

Please return the enclosed postcard, properly date stamped, to the undersigned attorney for Applicant upon receipt of the enclosures. In the event there are fees associated with this filing for which a check is inadvertently not enclosed, unsigned, or is insufficient in amount, the

Commissioner is authorized to charge the deposit account of Wisner & Associates, Deposit Account No. 50-0965 (SCHC,002) in the amount of any deficiency.

Respectfully submitted,



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Date: April 21, 2000